

Whistleblower Protection Policy USSA Central Region 1

USSA Central Region 1 requires directors, volunteers and employees to observe high standards of business and personal ethics in the conduct of their duties and responsibilities. As employees and representatives of the USSA Central Region 1, we must practice honesty and integrity in fulfilling our responsibilities and comply with all applicable laws and regulations.

Reporting Responsibility

This Whistleblower Policy is intended to encourage and enable employees and others to raise serious concerns internally so that USSA Central Region 1 can address and correct inappropriate conduct and actions. It is the responsibility of all board members, volunteers, employees and volunteers to report concerns about violations of USSA Central Region 1's code of ethics or suspected violations of law or regulations that govern USSA Central Region 1's operations.

No Retaliation

It is contrary to the values of USSA Central Region 1 for anyone to retaliate against any board member, officer, employee or volunteer who in good faith reports an ethics violation, or a suspected violation of law, such as a complaint of discrimination, or suspected fraud, or suspected violation of any regulation governing the operations of USSA Central Region 1. Any board member, officer, employee or volunteer who retaliates against someone who has reported a violation in good faith is subject to discipline up to and including termination of employment, dismissal from the board and/or organization.

Reporting Procedure

USSA Central Region 1 has an open door policy and suggests that members, volunteers or employees share their questions, concerns, suggestions or complaints with the appropriate member of the board who holds responsibility for the area in question. If you are not comfortable speaking with this person or you are not satisfied with this person's response, you are encouraged to speak with the Compliance Officer.

The USSA Central Region 1's Compliance Officer is responsible for ensuring that all complaints about unethical or illegal conduct are investigated and resolved. The Compliance Officer will advise the President and/or the Board or Directors of all complaints and their resolution and will report at least annually to the Board of Directors on compliance activity relating to accounting or alleged financial improprieties.

Accounting and Auditing Matters

The USSA Central Region 1's Compliance Officer shall immediately notify the Audit Committee/Finance Committee of any concerns or complaint regarding corporate accounting practices, internal controls or auditing and work with the committee until the matter is resolved.

Acting in Good Faith

Anyone filing a written complaint concerning a violation or suspected violation must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to

have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense.

Confidentiality

Violations or suspected violations may be submitted on a confidential basis by the complainant. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

Handling of Reported Violations

The USSA Central Region 1's Compliance Officer will notify the person who submitted a complaint and acknowledge receipt of the reported violation or suspected violation. All reports will be promptly investigated and appropriate corrective action will be taken if warranted by the investigation.

Compliance Officer:

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